



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Frank Scott Bono et al.

Application No.: 10/828,508 - 4285

Filed: April 20, 2004

For: Locking Cap Assembly For Spinal Fixation
Instrumentation

Attorney Docket No.: 101896-232

Group Art Unit: 3732

Examiner: Not Yet Assigned

Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:


I enclose herewith for filing in the above-identified application the following:

1. Request For Correction of Filing Receipt;
2. A copy of the official filing receipt with changes thereon;
3. Application Data Sheet; and
4. Return receipt postcard.

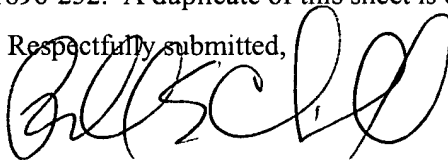
The Commissioner is authorized to charge any overpayments or underpayments if necessary to our Deposit Account No. 141449, Reference No. 101896-232. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 18, 2005

Date

Ronald E. Cahill, Reg. No 38,403

Respectfully submitted,



Ronald E. Cahill, Reg. No. 38,403
Attorney For Applicants
NUTTER MCCLENNEN & FISH, LLP
World Trade Center West
155 Seaport Boulevard
Boston, Massachusetts 02210-2604
Tel. (617) 439-2994
Fax (617) 310-9994



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Frank Scott Bono et al.

Application No.: 10/828,508 - 4285

Filed: April 20, 2004

For: Locking Cap Assembly For Spinal Fixation
Instrumentation

Attorney Docket No.: 101896-232

Group Art Unit: 3732

Examiner: Not Yet Assigned

Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

Applicant's attorney received an updated filing receipt dated November 3, 2004 which lists under Power of Attorney several attorneys on behalf of Nutter, McClennen & Fish, LLP. It should state "all practitioners of Customer No. 021125". Applicant's attorney submits a copy of the updated filing receipt with the correction thereon and a Application Data Sheet. Applicant's attorney respectfully requests a corrected updated filing receipt for the above-referenced application.

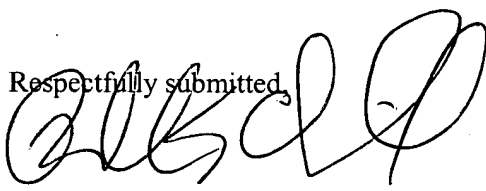
I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

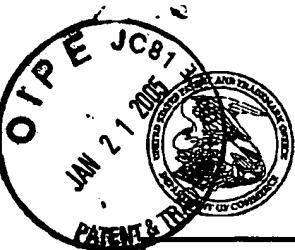
January 18, 2005

Date

Ronald E. Cahill, Reg. No 38,403

Respectfully submitted,


Ronald E. Cahill, Reg. No. 38,403
Attorney For Applicants
NUTTER MCCLENNEN & FISH, LLP
World Trade Center West
155 Seaport Boulevard
Boston, Massachusetts 02210-2604
Tel. (617) 439-2994
Fax (617) 310-9994



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/828,508	04/20/2004	3732	1990	101896-232	13	71	5

CONFIRMATION NO. 4285

UPDATED FILING RECEIPT



OC000000014271759

021125

NUTTER MCCLENNEN & FISH LLP
 WORLD TRADE CENTER WEST
 155 SEAPORT BOULEVARD
 BOSTON, MA 02210-2604

Date Mailed: 11/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frank Scott Bono, Rehoboth, MA;
 George Joseph Ross, Rehoboth, MA;
 Christopher Werner Sicvol, Boston, MA;
 Ian Burgess, Barrington, RI;

Power of Attorney:

Thomas Engellenner-28711
 Michael Falkoff-30833
 William Geary III-31359
 Carl DeFranco Jr-32675
 John Christopher-37596

Ronald Cahill-38403
 Michelle Rosenberg-40792
 Paul Durkee-41003
 Scott Rothenberger-41277
 Richard Roos-45053

all Practitioners of Customer No. 021125

Domestic Priority data as claimed by applicant

This application is a CON of 09/667,937 09/22/2000 PAT 6,755,829

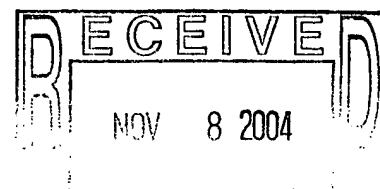
Foreign Applications

If Required, Foreign Filing License Granted: 06/29/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/828,508**

DOCKETED

*SUBMIT APPLICATION DATA SHEET
 TO CORRECT LIST OF ATTORNEYS*



Projected Publication Date: 02/10/2005

Non-Publication Request: No

Early Publication Request: No

Title

Locking cap assembly for spinal fixation instrumentation

Preliminary Class

606

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Application Data Sheet

Application Information

Application number:	Not Yet Assigned
Application Type:	Regular
Subject Matter:	Utility
Title:	Locking Cap Assembly For Spinal Fixation Instrumentation
Attorney Docket Number:	101896-232
Small Entity:	No
Secrecy Order in Parent Appl.:	No

Applicant Information

Applicant Authority Type:	Inventor
Citizenship Country:	USA
Given Name:	Frank Scott
Family Name:	Bono
City of Residence:	Rehoboth
State of Residence:	Massachusetts
Street of mailing address:	24 Woodland Way
City of mailing address:	Rehoboth
State or Province of mailing address:	MA
Country of mailing address:	US
Postal or Zip Code of mailing address:	02769

Applicant Authority Type:	Inventor
Primary Citizenship Country:	USA
Given Name:	George Joseph
Family Name:	Ross
City of Residence:	Rehoboth
State of Residence:	Massachusetts
Street of mailing address:	10 Hickory Ridge Road
City of mailing address:	Rehoboth
State or Province of mailing address:	MA
Country of mailing address:	US
Postal or Zip Code of mailing address:	02769

Applicant Authority Type: Inventor
Primary Citizenship Country: USA
Given Name: Christopher Werner
Family Name: Sicvol
City of Residence: Boston
State of Residence: Massachusetts
Street of mailing address: 664 Massachusetts Avenue #6
City of mailing address: Boston
State or Province of mailing address: MA
Country of mailing address: US
Postal or Zip Code of mailing address: 02118

Applicant Authority Type: Inventor
Primary Citizenship Country: United Kingdom
Given Name: Ian
Family Name: Burgess
City of Residence: Barrington
State of Residence: Rhode Island
Street of mailing address: 25 Winthrop Drive
City of mailing address: Rhode Island
State or Province of mailing address: MA
Country of mailing address: US
Postal or Zip Code of mailing address: 02806

Correspondence Information

Correspondence Customer Number: 021125
Phone number: (617) 439-3782
Fax number: (617) 310-9782
E-Mail address: rcahill@Nutter.com

Representative Information

Representative Customer Number: 021125

1394034.1